IAPO4Rec'd PCT 17 JUL 2008

	TO AMOREST AT TETTED T	ATTORNEY'S DOCKET NO.								
	TRANSMITTAL LETTER T	1029650-000178								
	DESIGNATED/ELECTED CONCERNING A SUBMISSI	U.S. APPLICATION No. (If known)								
	CONCERNING A SUBMISSI	10/594,427								
INTERN	IATIONAL APPLICATION NO.	PRIORITY DATE CLAIMED								
	26 March 2004 (26.03.2004)									
· ·	TITLE OF INVENTION									
- · • ·	LIPOSOME PREPARATION									
	APPLICANT(S) FOR DO/EO/US Masashi ISOZAKI, Keisuke YOSHINO, Kyoko TAGUCHI, and Masayo KONDO									
Applican	t herewith submits to the United States I	Designated/Elected Office (DO/EO/US) the foll	lowing items and other information:							
1. 🔲	This is a FIRST submission of iten	ns concerning a submission under 35 U.S	S.C. 371.							
2.		ENT submission of items concerning a sul								
		n national examination procedures (35 U.								
3.	include items (5), (6), (9) and (21)	·								
4. 🔲	The US has been elected (Article	31).								
5. 🔲	A copy of the International Applica	tion as filed (35 U.S.C. 371(c)(2))								
	a. is attached hereto (required)	red only if not communicated by the Interr	national Bureau).							
	b. has been communicated	by the International Bureau.	·							
	c. is not required, as the ap	plication was filed in the United States Re	eceiving Office (RO/US).							
6. 🗆	An English language translation of	f the International Application as filed (35	U.S.C. 371(c)(2))							
	a. 🔲 is attached hereto.									
	b. has been previously submitted under 35 U.S.C. 154(d)(4).									
7. 🗆										
	a. are attached hereto (requ	uired only if not communicated by the Inte	ernational Bureau).							
	b. have been communicated	d by the International Bureau.								
	c. have not been made; however, the time limit for making such amendments has NOT expired.									
	d. have not been made and will not be made.									
8. 🗆	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).									
9. 🛛	An oath or declaration of the inver	ntor(s) (35 U.S.C. 371(c)(4)).								
10. 🗆	An English language translation of the annexes of the International Preliminary Examination Report under									
ltem	s 11 to 20 below concern document(s	s) or information included:								
11. 🔲	An Information Disclosure Stateme	ent under 37 CFR 1.97 and 1.98.								
12. 🗀	An essignment desument for recording. A congrete cover sheet in compliance with 27 CED 2 29 and 2 21 is									
13. 🔲										
14. 🔲										
15. 🔲										
 16.										
17.										
18.	•	ternational Application under 35 U.S.C. 1								
19. 🗆										
20 🔯	• •									

U.S. APPLICATION NO. (If known)	INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NO. 1029650-000178			
10/594,427	PCT/JP2005/005577				
The following food have been extensity	al.	CALCIII ATIONS	DTO LICE		

ſ	The following fees have been submitted:								CALC	ULATIONS	PTO USE ONLY
•		21. Basic National fee (37 CFR 1.492(a)) (1631) \$ 300							\$	0.00	
	22. 🔲 E	2. Examination fee (37 CFR 1.492(c))									
	If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) (1643) \$ 0										
	P	All other situ	uations				(163	3) \$ 200	\$	0.00	
•	23. 🔲 S	Search fee	(37 CFR ²	1.492(b))							
-	If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) (1640) \$ 0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority (1641) \$ 100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB (1642) \$ 400										
-		All other situ		ID 00 -	-		(163	2) \$ 500	\$	0.00	
		OTAL OF	-				100 ah	- oto	\$	0.00	
		Additional fee for specifications and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$ 250 (1681) for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or fraction RATE									
		00 =	/50 =	thereof (round up to a whole numbe	er)	x \$ 250		 	0.00	
				<u>l</u> furnishing	any of the search fee, e	examir		eath or	\$	0.00	
		tion after the	1		nent of the national stag	··· ` · · · · · · · · · · · · · · · · ·			\$	130.00	
-	CLAIMS		NUMBE		NUMBER EXTRA		RATE				
	Total claim		18	- 20 =	0		x \$ 50 (1615)		\$	0.00	
	Independer	<u> </u>	1	- 3 =	0		x \$ 200 (1614)		\$	0.00	
	MULTIPLE	DEPENDEN	NT CLAIM(S) (if appl	icable)		+ \$ 360 (1616)		\$	0.00	
	TOTAL OF	ABOVE CA	LCULATION	ONS =			<u> </u>		\$	130.00	
	□ Ap	plicant claim	s small en	tity status.	See 37 CFR 1.27. Fee	es abo	ove are reduced b	y 1/2		0.00	
	SUBTOTA								\$	130.00	
,	. •	itee of \$ 130 imed priority	•		g the English translation (i)).	later	than 30 months fi	om the		0.00	
	TOTAL NA	TIONAL FE	E =						\$	130.00	
		•		_	(37 CFR 1.21(h)). The a 3, 3.31).\$ 40 per property	_	ment must be ac	companied +		0.00	
	TOTAL FE	ES ENCLOS							\$	130.00	
07/18/20	/2008 GFREY1 00000091 024800 10594427 Amount							to be	refunded:	\$	
01 FC:16	17	130.00 DA						Amoun	t to be	charged:	\$
				•							
	a. A check in the amount of to cover the above fees is enclosed. b. Please charge my Deposit Account No. 02-4800 in the amount of \$130.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.										
	d. Charge \$\subsetext{\subseteq}\$ to credit card. Form PTO-2038 is attached. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) (b)) must be filed and granted to restore the International Application to pending status.										
									FR 1.137(a) or		
		SEND ALL CORRESPONDENCE TO:							_		
	Customer P.O. Box 1					S	GIGNATURE				
		, VA 2231	3-1404								
	703.836.66	•					latthew L. Schr	eider			32814
	Date: July	<u>17, 2006</u>				V	IAME			REGIS	STRATION NO.

ATTORNEY'S DOCKET NO. TRANSMITTAL LETTER TO THE UNITED STATES 1029650-000178 DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION No. (If known) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** 10/594,427 PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 26 March 2004 (26.03.2004) 25 March 2005 (25.03.2005) PCT/JP2005/005577 TITLE OF INVENTION LIPOSOME PREPARATION APPLICANT(S) FOR DO/EO/US Masashi ISOZAKI, Keisuke YOSHINO, Kyoko TAGUCHI, and Masayo KONDO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 2. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) 5. is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). c. [An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) 6. L is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are attached hereto (required only if not communicated by the International Bureau). a. 🔲 have been communicated by the International Bureau. b. 🔲 have not been made; however, the time limit for making such amendments has NOT expired. c. 🗌 d. 🔲 have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). \boxtimes An English language translation of the annexes of the International Preliminary Examination Report under 10. PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is 12. 🔲 included. A preliminary amendment. 13. An Application Data Sheet under 37 CFR 1.76. 14. 15. A substitute specification. A power of attorney and/or change of address letter. 16. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 17. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 18. 🔲 A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 19. 🔲

20.

Other items or information: Notification of Missing Requirements.

INTERNATIONAL APPLICATION NO.	ATTORNEY'S DOCKET NO.
PCT/JP2005/005577	1029650-000178
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The follo	e following fees have been submitted:									ULATIONS	PIO USE ONLY
21. 🔲	Basic National fee (37 CFR 1.492(a)) (1631) \$ 300							\$	0.00		
22. 🔲	Examination fee (37 CFR 1.492(c))										
	If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) (1643) \$ 0.000										
	All other situations (1633) \$ 200								\$	0.00	
23.	Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority (1641) \$ 100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB (1642) \$ 400										
	All oth	•		,	·		(1632	2) \$ 500	\$	0.00	
-				ND 23 =					\$	0.00	
	Additional fee for specifications and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$ 250 (1681) for each additional 50 sheets of paper or fraction thereof.										
Total Sh	r 	Extra	Sheets		round up to a whole number)	INTE					
	-100 =		/50 =			x \$ 250		oth or	\$	0.00	
⊠ Surcl	harge of eration af	\$ 130 (ter the	(1617) for date of co	turnishing immericen	any of the search fee, exan nent of the national stage (3	nination lee, 67 CFR 1.492	or the o 2(h)).	ath or	\$	130.00	
CLAIMS				R FILED	NUMBER EXTRA	RATE	_1				
Total cla	<u> </u>		18	- 20 =	0	x \$ 50 (16°	15)		\$	0.00	
<u> </u>			1.	- 3 =	0	x \$ 200 (16		·	\$	0.00	
	dent Clai					<u> </u>		<u></u>	\$		
	MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$ 360 (1616)									0.00	
	TAL OF ABOVE CALCULATIONS =									130.00	
	Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2									0.00	
1	JBTOTAL =									130.00	
	ocessing fee of \$ 130 (1618) for furnishing the English translation later than 30 months from the									0.00	
	rliest claimed priority date (37 CFR 1.492(i)). + TAL NATIONAL FEE =								\$	130.00	
Fee for i	ee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied								•	0.00	
	by an appropriate cover sheet (37 CFR 3.28, 3.31).\$ 40 per property + TOTAL FEES ENCLOSED =								\$	130.00	
								Amount	to be	refunded:	S
-			-30								
	Amount to be charged:								Ψ		
a. A check in the amount of to cover the above fees is enclosed.											
b. Please charge my Deposit Account No. 02-4800 in the amount of \$130.00 to cover the above fees. A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4800. A duplicate copy of this sheet is enclosed.											
d. Charge \$\frac{1}{2}\$ to credit card. Form PTO-2038 is attached.											
NOTE: (b)) mus	Where st be fil	an ap ed an	propriat d grante	e time lir d to rest	nit under 37 CFR 1.495 ore the International A _l	has not be pplication t	een me to pen	t, a petiti ding statu	on to us.	revive (37 C	FR 1.137(a) or
SEND A	ALL CORRESPONDENCE TO: Maghel Sh							2			
P.O. Bo	stomer No 21839 SIGNATURE										
	Alexandria, VA 22313-1404 Matthew L. Schneider 32814								22044		
703.836							Scnn	eider		Regi	32814 STRATION NO
∃Date: Ju	ate: <u>July 17, 2006</u> Registration No.										



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/594,427

Masashi Isozaki

1029650-000178

21839 BUCHANAN, INGERSOLL & ROONEY PC **POST OFFICE BOX 1404 ALEXANDRIA, VA 22313-1404**

INTERNATIONAL APPLICATION NO. PCT/JP2005/005577

I.A. FILING DATE PRIORITY DATE 03/25/2005 03/26/2004

> **CONFIRMATION NO. 2940 371 FORMALITIES LETTER**

BUCHANAN INGERSOLL & ROONEY PC

JUN 27 2008

DOCKETED KM 6/27/08



Date Mailed: 06/27/2008

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495): MLS

- Priority Document
- Copy of the International Application filed on 09/26/2006
- English Translation of the IA filed on 09/26/2006
- Copy of the International Search Report filed on 09/26/2006
- Copy of IPE Report filed on 06/15/2008
- Preliminary Amendments filed on 09/26/2006
- Information Disclosure Statements filed on 12/20/2006
- Request for Immediate Examination filed on 09/26/2006
- U.S. Basic National Fees filed on 09/26/2006
- Priority Documents filed on 06/15/2008
- Non-English Language Application filed on 09/26/2006

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

MISSING PARTS DUE 8/27/08

Res'd by New Applications

JUL 17 2008

page 1 of 2

FORM PCT/DO/EO/905 (371 Formalities Notice)

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

DIAN S GORDON	
Telephone: (703) 308-9290 EXT 125	